

**Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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EFS ID: 12172
Application ID: 09682324
Title of Invention: Monitoring and Control Engine
for Multi-Tiered Service-Level
Management of Distributed
Web-Application Servers
First Named Inventor: Bradley Stone
Domestic/Foreign Application: Domestic Application
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Government, c=US
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Total Fees Authorized: \$355.0
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Deposit Account Name: Stuart T. Auvinen



TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: RS-11

Monitoring and Control Engine for Multi-Tiered Service-Level Management of Distributed Web- Application Servers

First Named Inventor: Bradley A. Stone

SUBMITTED BY

Name:

Stuart T Auvinen

Registration Number:

36,435

Electronic Signature

Date Signed: 20010820

Mark: /e/stuauvinen

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

bibd-transmittal

RS11exapds.xml

fee-transmittal

RS11exfee.xml

specification

RS11e.xml

declaration

oathRS11.tif

Attached Image File(s):

oathRS11.tif

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Comments:

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DECLARATION FOR PATENT APPLICATION

RS-11

As the below-named inventor, I hereby declare that.

My residence, mailing address, and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention, the specification of which is attached hereto and which has the following title:

Monitoring and Control Engine for Multi-Tiered Service-Level Management of Distributed Web-Application Servers

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to in the oath of declaration. I acknowledge a duty to disclose information which is material to examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby appoint the following Agent to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Stuart T. Auvinen, Reg. No. 36,435

Address all telephone calls to Stuart T. Auvinen at telephone No. (831) 476-5506.

Address all correspondence to:

Stuart T. Auvinen
429 Twenty-Sixth Ave
Santa Cruz, CA 95062

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Sole/First Inventor's Signature Bradley A. Stone date: 8/17/01

Full name of first inventor: Bradley A. Stone
Residence: Palo Alto, CA Citizen of USA
Mailing Address 418 Everett Avenue, Palo Alto, CA 94301

FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Small Entity

TOTAL FEES AUTHORIZED: \$ 355

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 01-2950
Deposit Account Name: Stuart T. Auvinen



Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

Authorized Name: Stuart T. Auvinen
Electronic Signature Mark: /e/stuaauvinen
Date Signed: 20010820

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	201	\$ 355

Subtotal For Basic Filing Fee: \$ 355

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 20	203	\$ 9	0	\$ 0
Independent Claims: 3	202	\$ 40	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0